

Demographic characteristics and opportunistic diseases associated with attrition during preparation for antiretroviral therapy in primary health centres in Kibera, Kenya

K. Tayler-Smith¹, R. Zachariah¹, M. Manzi¹, W. Kizito², A. Vandembulcke², S. Dunkley², D. von Rege², T. Reid¹, L. Arnould³, A. Suleh⁴ and A. D. Harries^{5,6}

¹ *Medecins sans Frontieres, Medical Department (Operational Research), Brussels Operational Center, Luxembourg, Luxembourg*

² *Medecins sans Frontieres, Nairobi, Kenya*

³ *Medical Department, MSF-Brussels Operational Centre, Belgium*

⁴ *Ministry of Health, Nairobi, Kenya*

⁵ *International Union against Tuberculosis and Lung Disease, Paris, France*

⁶ *London School of Hygiene and Tropical Medicine, London, UK*

Summary

Using routine data from HIV-positive adult patients eligible for antiretroviral therapy (ART), we report on routinely collected demographic characteristics and opportunistic diseases associated with pre-ART attrition (deaths and loss to follow-up). Among 2471 ART eligible patients, enrolled between January 2005 and November 2008, 446 (18%) were lost to attrition pre-ART. Adjusted risk factors significantly associated with pre-ART attrition included age <35 years (Odds Ratio, OR 1.4, 95% Confidence Interval, CI 1.1–1.8), severe malnutrition (OR 1.5, 95% CI 1.1–2.0), active pulmonary tuberculosis (OR 1.6, 95% CI 1.1–2.4), severe bacterial infections including severe bacterial pneumonia (OR 1.9, 95% CI 1.2–2.8) and prolonged unexplained fever (>1 month), (OR 2.6, 95% CI 1.3–5.2). This study highlights a number of clinical markers associated with pre-ART attrition that could serve as ‘pointers’ or screening tools to identify patients who merit fast-tracking onto ART and/or closer clinical attention and follow-up.

keywords risk factors, attrition, pre-ART phase

Introduction

Many operational challenges still hinder the goal of achieving timely universal access to antiretroviral therapy (ART) in sub-Saharan Africa. One such challenge is high attrition (deaths and loss to follow-up) among patients during the pre-ART phase, both those not yet ART eligible and those eligible and in the preparation phase for ART (Lawn *et al.* 2005, 2008; Ingle *et al.* 2010a; Larson *et al.* 2010; Zachariah *et al.* 2010). Finding ways forward to reduce attrition in these two groups is essential as attrition here is a proxy indicator of health system and programme barriers to accessing care. Pre-ART attrition, particularly among those considered eligible for ART, is particularly important as this is a highly vulnerable group that is at high risk of death. These are thus patients who have contact with the health system but for various reasons do not manage to pass through the ART ‘gates’ to access life-saving treatment.

There is a dearth of published information on the association between opportunistic diseases and pre-ART attrition among ART eligible patients. Such information

would be useful for identifying specific patient groups who should receive priority attention and targeted interventions to improve their retention in care and expedite ART access. Using routine data from the Médecins Sans Frontières (MSF) supported HIV/AIDS programme in Kibera, Kenya, we report on demographic characteristics and opportunistic diseases associated with attrition among ART eligible adults before starting ART.

Methods

Study design

This was a retrospective cross-sectional study of routinely collected programme data.

Study setting and population

The study was conducted in Kibera, an urban slum setting in Kenya with an estimated population of about 200 000 inhabitants. Migration is common in this slum, and a considerable proportion of the working population consists

K. Tayler-Smith *et al.* **Attrition during ART preparation**

1 of daily migrant workers. Thus, this population is generally
2 mobile because of the contextual situation.

3 In Kibera, MSF works in close collaboration with the
4 Ministry of Health, providing HIV/AIDS care and treat-
5 ment in three comprehensive primary care clinics. This
6 analysis included all HIV-positive adult patients
7 (≥ 15 years) who were ART eligible at the time of
8 presenting to these clinics between January 2005 and
9 November 2008.

Referral to the HIV clinic, clinical assessment and ART eligibility

10
11
12
13
14 Patients attend the HIV/AIDS and ART clinic either at
15 their own will after having been diagnosed elsewhere or
16 because they are formally referred by other health facil-
17 ities. There are also stand-alone HIV testing sites where
18 patients undergo HIV testing and are subsequently
19 encouraged to present to the HIV/AIDS clinic for clinical
20 assessment.

21 At presentation to the clinics, all patients underwent a
22 complete assessment during which height and weight were
23 measured and a full clinical history and examination
24 conducted to determine WHO HIV diseases staging and
25 the presence of opportunistic diseases (all clinicians have
26 been trained in the diagnosis and management of oppor-
27 tunistic diseases with regular supervision). HIV-positive
28 adult patients were considered eligible for ART if they were
29 in WHO clinical stage 3 (either with a CD4 count
30 < 350 cells/mm³ or with unknown CD4 count) or stage 4
31 (irrespective of CD4 count), or with a CD4 count
32 < 200 cells/mm³ (irrespective of WHO staging) (NASCOP
33 2005). ART eligible patients received preliminary coun-
34 selling, returned home and then returned to prepare for
35 ART initiation, accompanied by a guardian, if possible, to
36 foster a supportive home environment and reinforce the
37 importance of treatment adherence. Patients attended
38 individual counselling sessions to be educated on HIV
39 infection and the implications of ART. For HIV-TB co-
40 infected patients, care and treatment for TB is provided in
41 the same health facility by the same clinician.

42 There were no active tracing systems in place to trace
43 patients in the pre-ART phase. The tracing system (like
44 many others in Africa) only focused on tracing patients
45 once they had been placed on ART. Thus, existing
46 mechanisms for tracing only get activated once a patient
47 has managed to receive ART and not before.

Data collection, patient outcomes and statistical analysis

48
49
50 Information on demographic characteristics and clinical
51 data (height, weight, WHO stage, and opportunistic

diseases) on initial assessment were obtained from an
HIV/AIDS software program (FUCHIA, Epicentre, Paris,
France) into which data is entered daily using standar-
dised data collection sheets in the clinics. Treatment
outcomes were defined and analysed as follows: Attrition
pre-ART included all patients who died or were lost to
follow-up; death was defined as a patient who died
for any reason during the preparatory phase; lost to
follow-up was defined as a patient who never returned to
the ART facility for a period of 1 month or more after
the last scheduled appointment date. There were no
active tracing systems in place to trace patients in the
pre-ART phase. Patients were regarded as being alive
and under follow-up if (i) they were alive and on ART,
and thus by definition had passed through the pre-ART
phase, or (ii) were still alive and waiting to start
ART. Outcomes for ART eligible patients still waiting to
start ART were censored on 30 November 2008. If the
next date of appointment fell after or within 1 month
before the censor date, these patients were considered
retained in care. Patients who transferred out were those
formally transferred out permanently to another treat-
ment facility.

Risk of attrition was determined by crude odds ratios
(ORs) and adjusted ORs. Adjusted ORs were determined
through multivariate logistic regression. All variables that
were significant or of borderline significance in the crude
model were included in the multivariate model. Those with
a Walds test *P* value of < 0.15 were kept in the model.
Others were maintained in the model if removing them
resulted in a change of $> 15\%$ in the odds ratio of variables.
A backward stepwise elimination approach was used until
all remaining variables in the model were significant and
this was then reported. All related *P*-values were based on
the Walds test. Data analysis was performed using the
STATA 8.2 software (Stata corporation, College Station,
Texas 77845, USA).

Ethical approval

Ethical approval was received from the MSF and UNION
Ethics Review Boards (ERB). The MSF ERB is instituted
independently of MSF. The project in Kibera functions
under the framework of formal collaborative agreements
with the Kenyan Ministry of Health. The data in this study
did not include patient identifiers, and all data used in this
report constitute what is routinely collected under national
management guidelines for TB and ART. General measures
are provided in the Kibera facilities to ensure patient
confidentiality, consent for HIV testing, and counselling
and support for those who receive a positive HIV test
result.

Results

Characteristics of the study population

Between January 2005 and November 2008, 2718 ART eligible adults were registered at the ART sites in Kibera; 247 (9%) had no next appointment date specified in the database and were thus excluded from the analysis.

Table 1 shows the case registration characteristics of the 2471 adults included in the study. There were 1532 (62%)

Table 1 Baseline registration characteristics of ART eligible adults in Kibera ($n = 2471$)

Variable	n (%)
Total	2471
Sex	
Female	1532 (62)
Male	939 (38)
Age (years)	
15–34	1488 (60)
≥ 35	983 (40)
Median, years (IQR)	33 (28–39)
WHO clinical stage	
Stage I or II with CD4 <200 cells/ μ l	551 (22)
Stage III	1439 (58)
Stage IV	481 (19)
BMI (kg/m ²)	
<16	173 (7)
16–16.9	148 (6)
17–18.4	315 (13)
≥ 18.5	1091 (44)
Unknown	744 (30)
Opportunistic disease	
None	1454 (58)
Weight loss	99 (4)
Herpes zoster	32 (1)
URTI	80 (3)
Papular pruritic eruption	27 (1)
Unexplained diarrhoea	53 (2)
Prolonged unexplained fever (>1 month)	51 (2)
Prolonged oral candida/Oesophageal candidiasis	104 (4)
Pulmonary TB	276 (11)
EPTB	52 (2)
Severe bacterial pneumonia	129 (5)
Severe bacterial infection	50 (2)
Wasting syndrome	23 (1)
Kaposi sarcoma	23 (1)
Others: Angular cheilitis, Seborrheic dermatitis, cryptococcosis extrapulmonary, toxoplasmosis	18 (1)

ART, antiretroviral therapy; IQR, Inter-quartile range; WHO, World Health Organisation; BMI, Body mass index; URTI, Upper Respiratory Tract Infection; TB, Tuberculosis, PTB, Pulmonary TB; EPTB, Extra-pulmonary TB.

women, and the median age for all patients was 33 years [Inter-quartile range (IQR), 28–39 years]. The most common opportunistic infection was Pulmonary TB (PTB) (11%).

Demographic characteristics and opportunistic diseases associated with attrition during ART preparation

Out of 2471 ART eligible adults, 446 (18%, 95% CI: 17–20%) were lost to attrition before starting ART. Loss to follow-up constituted the largest part of this attrition (85%). Median time to attrition was 1.1 months (IQR, 0.5–3.1 months, overall range 1 day – 37 months).

Table 2 shows the demographic characteristics and opportunistic diseases associated with attrition pre-ART. Age <35 years (OR 1.4, 95%CI 1.1–1.8), severe malnutrition (OR 1.5, 95%CI 1.1–2.0), active pulmonary tuberculosis (OR 1.6, 95%CI 1.1–2.4), severe bacterial infections (including severe bacterial pneumonia) (OR 1.9, 95%CI 1.2–2.8), and prolonged unexplained fever (for more than 1 month), (OR 2.6, 95%CI 1.3–5.2) were significantly associated with pre-ART attrition.

Discussion

Younger age (<35 years) and life-threatening opportunistic diseases were associated with attrition (deaths and loss to follow-up) among ART eligible patients in pre-ART in an urban slum setting in Kenya. Although overall attrition of 18% pre-ART may be deemed acceptable, we believe that addressing early attrition is important for improving overall programme outcomes and raising the credibility of ART programmes.

The strengths of this study are that a large number of patients were studied, outcomes were available for most patients and, as the data comes from a programme setting, findings likely reflect the operational reality on the ground. The limitations are that the exact cause(s) of attrition (particularly deaths) could not be determined and thus attributed cause(s) might be inaccurate, we may have overestimated attrition as some patients declared lost to follow-up might include silent undeclared transfers out to other health facilities, and patients declared lost to follow-up may include unascertained deaths. In relation to the latter, as attrition was significantly associated with life-threatening opportunistic diseases, a large undefined proportion of those declared lost to follow-up might actually be unascertained deaths, especially as no active tracing systems were in place to trace these patients during the pre-ART phase. This belief is also supported by recent studies from South Africa and Uganda showing that considerable pre-ART attrition is attributable to

Table 2 Demographic and clinical characteristics and opportunistic diseases associated with attrition pre-ART, Kibera, Kenya

Variables	Attrition (%)	OR	Adjusted OR*	P-value
Gender				
Female	268/1532 (17)	1		
Male	178/939 (19)	1.1 (0.9–1.4)		
Age (years)				
<35 years	297/1488 (20)	1.4 (1.1–1.7)	1.4 (1.1–1.8)	0.01
≥35 years	149/983 (15)	1		
BMI (kg/m ²) [†]				
<17	73/321 (23)	1.6 (1.2–2.2)	1.5 (1.1–2.0)	0.02
17–18.4	58/315 (18)	1.2 (0.9–1.7)	1.3 (0.9–1.7)	0.34
≥18.5	171/1091 (16)	1		
Unknown	144/744 (19)	1.3 (1.0–1.6)		
WHO Stage				
Stage 1 & II, CD4 count <200	87/551 (16)	1		
Stage III (CD4 count <350 or no available CD4 count)	263/1439 (18)	1.2 (0.9–1.6)		
Stage IV	96/481 (20)	1.3 (1.0–1.8)		
Year of enrolment				
2005	93/499 (19)	1		
2006	161/704 (23)	1.3 (1.0–1.7)		
2007	93/690 (14)	0.7 (0.5–9.0)		
2008	99/578 (17)	0.9 (0.7–1.2)		
Weight loss				
Absent	427/2372 (18)	1		
Present	19/99 (19)	1.1 (0.6–1.8)		
Pulmonary tuberculosis				
Absent	383/2195 (17)	1	1.6 (1.1–2.4)	0.008
Present	63/276 (23)	1.4 (1.0–1.9)		
Severe bacterial pneumonia/infection				
Absent	397/2292 (17)	1	1.9 (1.2–2.8)	0.004
Present	49/179 (27)	1.8 (1.3–2.5)		
Prolonged unexplained fever				
Absent	428/2420 (18)	1	2.6 (1.3–5.2)	0.008
Present	18/51 (35)	2.5 (1.4–4.6)		

ART, antiretroviral therapy; OR, odds ratio; WHO, World Health Organisation; BMI, body mass index.

*Adjusted odds ratios are only presented for variables included in the multivariate model.

[†] χ^2 test for trend = 7.99, *P* = 0.005.

death (Amuron *et al.* 2009; Fox *et al.* 2010; Ingle *et al.* 2010b).

Attrition tended to occur early on in the pre-ART phase. Possible reasons for this include the following: delayed presentation of patients and thus advanced HIV/AIDS disease, delays in ART initiation resulting in long waiting times and life-threatening HIV-related opportunistic disease (such as diagnosed or covert PTB) or complications such as septicaemia and bacteraemia. Programmatically, this baseline situation is usually ignored because routine cohort reporting of adverse outcomes (deaths and losses to follow-up) is traditionally restricted to registered patients on ART, and thus, the problem of pre-ART attrition remains unnoticed at programme level (Van Griensven *et al.* 2010; Zachariah *et al.* 2010). As a consequence,

existing patient tracing systems are not deployed for the benefit of this patient sub-group. As programmes only act on problems apparent in their reporting, our findings suggest that measures need to be implemented to include all 'ART eligible patients into routine cohort reporting and not just those who 'start ART'.

Being relatively younger was associated with higher attrition in the pre-ART phase. This may be linked to younger patients still feeling relatively well at registration and not perceiving the need to return for treatment. As most workers in Kibera are casual workers, they might also have found it difficult to cope with the formalities linked to ART preparation, while trying to find and keep work. These people may well wait until they become more ill before seeking ART care. This merits specific investigation

K. Tayler-Smith *et al.* **Attrition during ART preparation**

1 and probably targeted education and counselling (Braitstein *et al.* 2006).

2 A particularly interesting finding was that active PTB,
3 severe malnutrition, prolonged unexplained fever
4 (>1 month) and severe bacterial infections were all significantly associated with pre-ART attrition. Ways forward in
5 addressing these challenges are thus needed. Attrition was
6 seen in about one in four PTB patients in the pre-ART
7 phase. Possible reasons could be (i) time constraints and
8 difficulties related to having to access joint TB treatment
9 and ART (with each started at different times as recommended), (ii) patients feeling better after starting TB
10 treatment and deciding to postpone ART initiation, (iii)
11 shortcomings in TB-HIV collaboration, and (iv) unascertained deaths caused by TB. We believe that the latter may
12 contribute most to the pre-ART attrition observed as TB is
13 a leading cause of early deaths among HIV-positive
14 patients (Lawn *et al.* 2005, 2008; Etard *et al.* 2006).
15 Recent WHO guidelines recommending earlier ART initiation
16 among all HIV-positive TB patients, irrespective of
17 CD4 count, should simplify the process of ART initiation.

18 Severe malnutrition, prolonged unexplained fever
19 (>1 month) and severe bacterial infections were all associated with attrition, and like PTB, we believe that
20 unascertained deaths may contribute to the majority of this
21 attrition. For instance, severe malnutrition, by further
22 compromising host immunity, could predispose to life-
23 threatening superadded infections, increasing the risk of
24 death. Severe wasting, unexplained fever and severe
25 bacterial pneumonia (Scott *et al.* 2000) may be proxy signs
26 of undiagnosed or 'occult' TB, including disseminated TB
27 (Lucas *et al.* 1994; Grant *et al.* 1997; Anglaret *et al.* 2002).
28 As such it may be desirable for patients with severe
29 malnutrition and unexplained fever to undergo more
30 'intensified' screening for TB, including clinical questioning
31 about cough for more than 2 weeks, sputum examination
32 (including, where feasible, sputum cultures), chest X-ray
33 and abdominal ultrasound for enlarged mesenteric glands.
34 There is some evidence that the only indication of TB in a
35 proportion of such patients might be a positive blood
36 culture but such an investigation remains beyond the reach
37 of most health facilities in resource-limited settings. This
38 highlights the need for development and access to better
39 point-of-care TB diagnostics for such settings. The recently
40 described Xpert MTB/RIF automated molecular test for
41 *Mycobacterium tuberculosis* is a step in the right direction
42 in this regard (Boehme *et al.* 2010). The importance of
43 malnutrition and severe blood-stream infections in HIV-I
44 infected patients in sub-Saharan Africa has been described,
45 the most frequently incriminated strains being non-typhi
46 *Salmonella*, *Streptococcus pneumoniae*, *Escherichia coli*
47 and *Shigella* sp. (Gilks *et al.* 1990; Janoof *et al.* 1992;

Grant *et al.* 1997; Gilks 1998; Jones *et al.* 1998; Anglaret
et al. 2002). Blood culture studies in such patients would
be useful to determine the relative contributions of these
pathogens and to determine whether routine, empirical
antibiotic therapy might be justified.

Finally, the pre-ART attrition observed among ART
eligible patients in Kibera was not as high as has been
reported in other settings such as South Africa and Uganda
(Amuron *et al.* 2009; Larson *et al.* 2010). MSF has
supported the MOH-run primary care clinics in Kibera for
some years now and its presence and the additional
financial, logistic and human resources that the organisation brings to its disposal may explain these lower attrition
rates. In other public health facilities that do not have the
additional resources and support from an NGO, one might
expect this pre-ART attrition to be higher.

In conclusion, in an urban slum setting in Nairobi, a
number of clinical markers routinely collected and
recorded during preparation for ART were associated with
a high risk of pre-ART attrition. These variables could
serve as pointers or screening tools to identify patients who
merit fast-tracking onto ART and much closer clinical
attention.

Acknowledgements

We are grateful to the Kenyan Ministry of Health for their
collaboration and support in implementing HIV/AIDS-
related activities in Kibera. We are particularly grateful to
the Kibera database team for their work with data
collection and support and all the health workers, patient
support groups and associations.

References

- Amuron B, Namara G, Birungi J *et al.* (2009) Mortality and loss to-follow-up during the pre-treatment period in an antiretroviral therapy programme under normal health service conditions in Uganda. *BMC Public Health* **9**, 290.
- Anglaret X, Dakoury-Dogbo N, Bonard D *et al.* (2002) Causes and empirical treatment of fever in HIV-infected adult outpatients, Abidjan, Cote d'Ivoire. *AIDS* **16**, 909–918.
- Boehme C, Nabeta P, Hillemann D *et al.* (2010) Rapid molecular detection of tuberculosis and rifampicin resistance. *New England Journal of Medicine* **363**, 1005–1015.
- Braitstein P, Brinkhof M, Dabis F *et al.* (2006) The Antiretroviral Therapy in Lower Income Countries (ART-LINC) Collaboration and ART Cohort Collaboration (ART-CC) Groups. Mortality of HIV-1 infected patients in the first year of antiretroviral therapy: comparison between low-income and high-income countries. *Lancet* **367**, 817–824.
- Etard J, Ndiaye I, Thierry-Mieg M *et al.* (2006) Mortality and causes of death in adults receiving highly active antiretroviral

K. Tayler-Smith *et al.* **Attrition during ART preparation**

- therapy in Senegal: a 7-year cohort study. *AIDS* 20, 1181–1189.
- Fox M, Brennan A, Maskew M, MacPhail P & Sanne I (2010) Using vital registration data to update mortality among patients lost to follow-up from ART programmes: evidence from the Themba Lethu Clinic, South Africa. *Tropical Medicine and International Health* 15, 405–413.
- Gilks C (1998) Acute bacterial infections and HIV disease. *British Medical Bulletin* 54, 383–393.
- Gilks C, Brindle R, Newnham R *et al.* (1990) Life-threatening bacteraemia in HIV-1 seropositive adults admitted to hospital in Nairobi, Kenya. *Lancet* 346, 545–549.
- Grant A, Djomand G & De Cock K (1997) Natural history and spectrum of disease in adults with HIV/AIDS in Africa. *AIDS* 11, S43–S54.
- Ingle S, May M, Uebel K *et al.* (2010a) Differences in access and patient outcomes across antiretroviral treatment clinics in the Free State province: a prospective cohort study. *South African Medical Journal* 100, 675–681b.
- Ingle S, May M, Uebel K *et al.* (2010b) Outcomes in patients waiting for antiretroviral treatment in the Free State Province, South Africa: prospective linkage study. *AIDS* 24, 2717–2725.
- Janoof E, Breima R, Daley C & Hopewell P (1992) Pneumococcal disease during HIV infection. Epidemiologic, clinical and immunological perspectives. *Annals of Internal Medicine* 117, 314–324.
- Jones N, Huebner R, Khoosal M, Crewe-Brown H & Klugman K (1998) The impact of HIV on Streptococcus pneumoniae bacteraemia in a South African population. *AIDS* 12, 2177–2184.
- Larson B, Brennan A, McNamara L *et al.* (2010) Early loss to follow up after enrolment in pre-ART care at a large public clinic in Johannesburg, South Africa. *Tropical Medicine and International Health* 15, S43–S47.
- Lawn S, Myer L, Orrell C, Bekker L & Wood R (2005) Early mortality among adults accessing a community-based antiretroviral service in South Africa: implications for programme design. *AIDS* 19, 2141–2148.
- Lawn S, Harries A, Anglaret X, Myer L & Wood R (2008) Early mortality among adults accessing antiretroviral treatment programmes in sub-Saharan Africa. *AIDS* 22, 1897–1908.
- Lucas S, De Cock K, Hounnou A *et al.* (1994) Contribution of tuberculosis to slim disease in Africa. *British Medical Journal* 308, 1531–1533.
- NASCOP (2005) Guidelines for Antiretroviral Drug Therapy in Kenya. 3rd edn. Ministry of Health, Nairobi, Kenya. Available at: <http://apps.who.int/medicinedocs/documents/s16742e/s16742e.pdf> (Accessed 14 March 2010).
- Peters RPH, Zijlstra EE, Schijffelen MJ *et al.* (2004) A prospective study of bloodstream infections as a cause of fever in Malawi: clinical predictors and implications for management. *Tropical Medicine and International Health* 9, 928–934. **1**
- Scott J, Hall A, Muyodi C *et al.* (2000) Aetiology, outcome, and risk factors for mortality among adults with acute pneumonia in Kenya. *Lancet* 355, 1225–1230.
- Van Griensven J, Sopheak T, Aseffa Y, Van Damme W & Lynen L (2010) Attrition of HIV-infected individuals not yet eligible for antiretroviral treatment: do we care? *Transactions of the Royal Society of Tropical Medicine and Hygiene* 104, 690–692.
- WHO Rapid advice. antiretroviral therapy for HIV infection in adults and adolescents. November 30, 2009. World Health Organisation, Geneva, Switzerland. Available at: <http://www.who.int/hiv/pub/arv/advice/en/>. (Accessed 21 September 2010). **2**
- Zachariah R, Tayler-Smith K, Massaquoi M & Harries A (2010) Attrition of HIV-infected individuals not yet eligible for antiretroviral therapy. Why should we care? *Transactions of the Royal Society of Tropical Medicine and Hygiene* 104, 690–693.

Corresponding Author R. Zachariah, Medecins sans Frontieres (Brussels Operational Center), Medical Department (Operational research), 68 Rue de Gasperich, L-1617, Luxembourg. Tel.: +352 332515; Fax: +352 335133; E-mail: zachariah@internet.lu

Author Query Form

Journal: TMI

Article: 2740

Dear Author,

During the copy-editing of your paper, the following queries arose. Please respond to these by marking up your proofs with the necessary changes/additions. Please write your answers on the query sheet if there is insufficient space on the page proofs. Please write clearly and follow the conventions shown on the attached corrections sheet. If returning the proof by fax do not write too close to the paper's edge. Please remember that illegible mark-ups may delay publication.

Many thanks for your assistance.

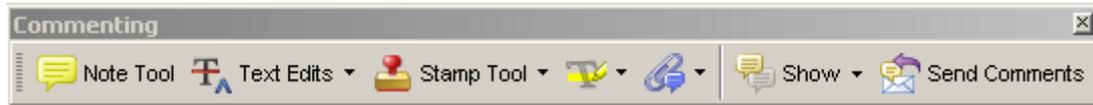
Query reference	Query	Remarks
1	AUTHOR: Peters <i>et al.</i> (2004) has not been cited in the text. Please indicate where it should be cited; or delete from the Reference List.	
2	AUTHOR: WHO (2009) has not been cited in the text. Please indicate where it should be cited; or delete from the Reference List.	

USING E-ANNOTATION TOOLS FOR ELECTRONIC PROOF CORRECTION

Required Software

Adobe Acrobat Professional or Acrobat Reader (version 7.0 or above) is required to e-annotate PDFs. Acrobat 8 Reader is a free download: <http://www.adobe.com/products/acrobat/readstep2.html>

Once you have Acrobat Reader 8 on your PC and open the proof, you will see the Commenting Toolbar (if it does not appear automatically go to Tools>Commenting>Commenting Toolbar). The Commenting Toolbar looks like this:



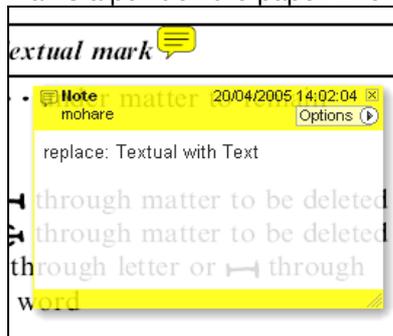
If you experience problems annotating files in Adobe Acrobat Reader 9 then you may need to change a preference setting in order to edit.

In the "Documents" category under "Edit – Preferences", please select the category 'Documents' and change the setting "PDF/A mode:" to "Never".



Note Tool — For making notes at specific points in the text

Marks a point on the paper where a note or question needs to be addressed.

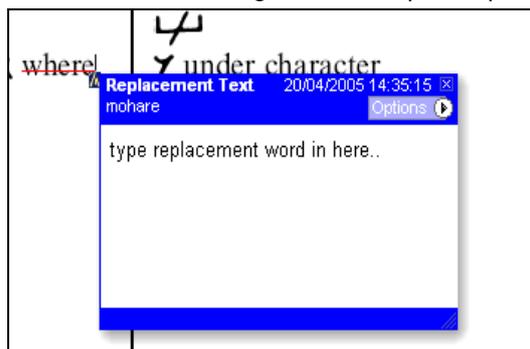


How to use it:

1. Right click into area of either inserted text or relevance to note
2. Select Add Note and a yellow speech bubble symbol and text box will appear
3. Type comment into the text box
4. Click the X in the top right hand corner of the note box to close.

Replacement text tool — For deleting one word/section of text and replacing it

Strikes red line through text and opens up a replacement text box.

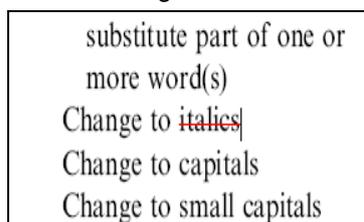


How to use it:

1. Select cursor from toolbar
2. Highlight word or sentence
3. Right click
4. Select Replace Text (Comment) option
5. Type replacement text in blue box
6. Click outside of the blue box to close

Cross out text tool — For deleting text when there is nothing to replace selection

Strikes through text in a red line.



How to use it:

1. Select cursor from toolbar
2. Highlight word or sentence
3. Right click
4. Select Cross Out Text

Approved tool — For approving a proof and that no corrections at all are required.

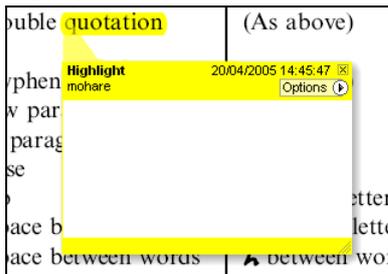


How to use it:

1. Click on the Stamp Tool in the toolbar
2. Select the Approved rubber stamp from the 'standard business' selection
3. Click on the text where you want to rubber stamp to appear (usually first page)

Highlight tool — For highlighting selection that should be changed to bold or italic.

Highlights text in yellow and opens up a text box.

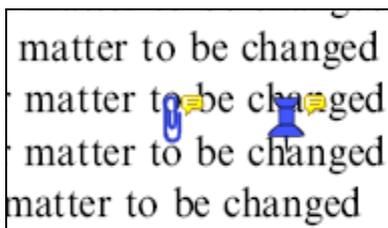


How to use it:

1. Select Highlighter Tool from the commenting toolbar
2. Highlight the desired text
3. Add a note detailing the required change

Attach File Tool — For inserting large amounts of text or replacement figures as a files.

Inserts symbol and speech bubble where a file has been inserted.

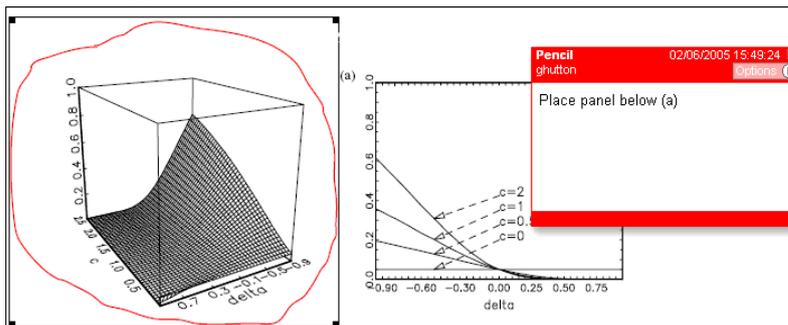


How to use it:

1. Click on paperclip icon in the commenting toolbar
2. Click where you want to insert the attachment
3. Select the saved file from your PC/network
4. Select appearance of icon (paperclip, graph, attachment or tag) and close

Pencil tool — For circling parts of figures or making freeform marks

Creates freeform shapes with a pencil tool. Particularly with graphics within the proof it may be useful to use the Drawing Markups toolbar. These tools allow you to draw circles, lines and comment on these marks.



How to use it:

1. Select Tools > Drawing Markups > Pencil Tool
2. Draw with the cursor
3. Multiple pieces of pencil annotation can be grouped together
4. Once finished, move the cursor over the shape until an arrowhead appears and right click
5. Select Open Pop-Up Note and type in a details of required change
6. Click the X in the top right hand corner of the note box to close.

Help

For further information on how to annotate proofs click on the Help button to activate a list of instructions:

