

1 **Thin layer agar-based direct phenotypic drug-susceptibility testing on**  
2 **sputum in Eswatini rapidly detects *Mycobacterium tuberculosis* growth and**  
3 **rifampicin resistance, otherwise missed by WHO endorsed diagnostic tests.**

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29 **Abstract**

30 BACKGROUND: Xpert®MTB/RIF rapidly detects resistance to rifampicin (RR), however this  
31 test misses the I491F-RR conferring *rpoB* mutation, common in Southern Africa. In addition,  
32 Xpert®MTB/RIF does not distinguish between viable and dead *Mycobacterium tuberculosis*  
33 (MTB).

34 OBJECTIVE: To investigate the ability of thin layer agar (TLA) direct drug-susceptibility testing  
35 (DST) to detect MTB and its drug-resistance profiles in field conditions in Eswatini.

36 DESIGN: Consecutive samples were tested in parallel with Xpert®MTB/RIF and TLA for  
37 rifampicin (1.0 µg/ml) and ofloxacin (2.0 µg/ml). TLA results were compared at the Reference  
38 Laboratory in Antwerp with indirect DST on Löwenstein-Jensen or 7H11 solid media and  
39 additional phenotypic and genotypic testing to resolve discordance.

40 RESULTS: TLA showed a positivity rate for MTB detection of 7.1% versus 10.0% for  
41 Xpert®MTB/RIF. Of a total of 4547 samples included in the study, 200 isolates were available  
42 for comparison to the composite reference. Within a median of 18.4 days, TLA detected RR  
43 with 93.0% sensitivity (CI-77.4-98.0) and 99.4% specificity (CI 96.7-99.9), versus 62.5% (CI  
44 42.7-78.8) and 99.3% (CI 96.2-99.9) for Xpert®MTB/RIF. Eight isolates, 28.6% of all RR  
45 confirmed isolates, carried the I491F mutation, all detected by TLA. TLA also correctly  
46 identified 183 of the 184 ofloxacin-S isolates (99.5% specificity, CI 97.0-99.9).

47 CONCLUSIONS: In field conditions, TLA rapidly detects RR, and in this specific setting  
48 contributed to detection of additional RR patients over Xpert®MTB/RIF, mainly but not  
49 exclusively due to I491F. TLA also accurately excluded fluoroquinolones resistance.

50

## 53 Background

54 In 2019, globally about 10 million people developed tuberculosis (TB), and half a million  
55 people developed TB resistant to rifampicin (RR-TB) [1]. Even if rifampicin (RMP) drug-  
56 susceptibility testing (DST) coverage at TB diagnosis increased to 61%, a considerable number  
57 of patients with undetected RR-TB are still treated with an ineffective rifampicin-based  
58 treatment regimen. These patients are at high risk of treatment failure, and continue  
59 spreading RR-TB[1].

60 To enhance RR-detection, the End TB strategy recommends to improve case detection and  
61 DST coverage, also with the use of molecular techniques. The tests used most commonly to  
62 detect drug resistance are Xpert®MTB/RIF (Cepheid, USA), which simultaneously detects  
63 *Mycobacterium tuberculosis* complex (MTB) and RR, and line probe assays (LPA) such as  
64 Genotype MTBDR*plus*, (Hain, Lifescience, Germany), for isoniazid (INH) and RMP, and  
65 MTBDR*s/l* for fluoroquinolone (FQ) and second-line injectables [2]. The roll-out of  
66 Xpert®MTB/RIF, also in peripheral laboratories, substantially decreased diagnostic delay  
67 [3][4]. However, these rapid molecular techniques miss specific *rpoB* mutants at positions  
68 outside the RR determining region of the *rpoB* gene (RRDR), which are associated with  
69 equally poor treatment outcomes as ‘common’ *rpoB* mutants [5]. One example is the I491F  
70 RR-conferring mutation, among the so called disputed mutations [6], which in Eswatini  
71 accounts for 56% of all RR-TB cases [7], reason of grave concern [8][9]. Furthermore, I491F  
72 is in most of the cases tested as false rifampicin sensitive (RS) in MGIT phenotypic DST (pDST)  
73 and is only detected by sequencing of the entire *rpoB* gene or slow pDST on solid medium,  
74 such as Löwenstein-Jensen (LJ) [9][10][11]. Isolates with these mutations are also partially  
75 missed by other non-commercial methods as microscopic observation direct susceptibility  
76 testing (MODS), with a reported sensitivity of 75% [12].

77 TLA has previously been described as an affordable method to detect MTB [13][14]. This  
78 technique has limited costs [15] and can provide results considerably faster than indirect  
79 pDST methods. Direct Thin Layer Agar DST (referred as TLA below) is not among the non-  
80 commercial methods recommended by WHO [16]. However, when TLA is used for  
81 simultaneous MTB and drug resistance detection, clinical samples are inoculated  
82 immediately after decontamination on the medium with and without antibiotics [17]  
83 avoiding the intermediate step of MTB isolation, which eliminates the need for high-level  
84 biohazard containment such as needed for indirect pDST [18].

85 These characteristics make this test suitable for low resource settings [19], although studies  
86 on its applicability in routine practice are still limited. In settings where the prevalence of  
87 I491F mutation is low, TLA has shown similar overall performance compared to indirect  
88 MGIT-DST in terms of sensitivity, specificity and turnaround time [17][15]. However, the  
89 ability of TLA to detect RR due to mutations outside the RRDR is unknown.

90 The primary objective of this study was to investigate the use of TLA as direct pDST test for  
91 RR-TB detection in a peripheral laboratory, applied to smear-positive (Sm+) and smear-  
92 negative (Sm-) sputum samples in a setting with high prevalence of the *rpoB* I149F mutation.  
93 In addition, we describe the test performance for detection of MTB and resistance to  
94 ofloxacin (OFX), as indicator for FQ resistance, key class of drug in the treatment of RR-TB.  
95 DST results were evaluated in comparison to a composite reference standard that included  
96 genotypic plus phenotypic testing.

## 97 **Materials and Methods**

### 98 **Study patients and test flow**

99 The study was conducted in the Nhlngano TB laboratory (NTBL), Eswatini, by Médecins Sans  
100 Frontières-Switzerland and the Institute of Tropical Medicine (ITM), Antwerp, Belgium. The

101 catchment area included 22 health clinics and 3 health centres (including Nhlanguano) at up  
102 to 90 km of distance (map in Figure 1), from where samples were sent to NTBL in cold chain  
103 (2-8°C) without preservatives.

104 We included all samples collected from consecutive patients older than 15 years, who  
105 presented signs of presumptive TB, did not start TB treatment in the previous month, and  
106 consented to participate in this study. As per routine practice, patients were asked to  
107 produce two good quality sputum specimens (labelled as sample A and sample B) in 50 ml  
108 sterile screw-cap containers and, when collected at the clinics, sent at the health centres,  
109 where sample A was tested by Xpert®MTB/RIF following Cepheid procedures. Any patients  
110 Xpert®MTB/RIF positive for TB on sample A, regardless of the RMP result, had sample B sent  
111 to the National TB Reference Laboratory (NTBRL) in Mbabane for routine testing with liquid  
112 culture and pDST. In this case, sample C was collected for the purpose of this study. All  
113 leftovers from sample A, sample B, and sample C, if collected, were decontaminated and  
114 tested for the study with TLA, fluorescence microscopy (FM), Xpert®MTB/RIF and LJ culture  
115 at the NTBL. For any positive TLA plate, inoculated with either sample A, B or C, the  
116 corresponding LJ isolate was sent to ITM for extended phenotypic and genotypic testing. In  
117 case the LJ culture was contaminated or remained negative, an LJ subculture from the growth  
118 of the corresponding TLA plate was shipped.

#### 119 **Laboratory methods at NTBL**

120 We performed TLA using 4-quadrant polystyrene plates prepared at NTBL. The medium  
121 contained 7H11 agar supplemented with a broad-spectrum antibiotic mixture to suppress  
122 contamination, as previously described [15][17]. TLA plates included: drug-free growth  
123 control (GC), p-Nitrobenzoic acid (PNB) (500 µg/ml), RMP (1.0 µg/ml) and OFX (2.0 µg/ml).  
124 The processed sputum sediment was resuspended with 2 ml phosphate buffer (PBS) and two

125 drops were inoculated per each well.

126 During incubation at 5% CO<sub>2</sub>, plates were read at day 5, 7 10, 13, 15, 20, 25, 30, 35, 40, as  
127 previously described [15] with few modifications. In addition, the drug-containing wells were  
128 read on the day of GC positivity and on the next scheduled reading.

129 Plates were reported as positive for non-tuberculous mycobacteria (NTM) in case of  
130 mycobacterial growth in the quadrant containing PNB, while no or poor growth ( $\leq 3$  colonies)  
131 on PNB with a positive GC compartment was considered positive for MTB [20]. Any growth  
132 on PNB was tested with the MPT64Ag test (SD Bioline).

### 133 **Laboratory methods at the reference laboratory**

134 Upon receipt of isolates at ITM, fresh subcultures were made on LJ medium, and indirect-  
135 DST was performed using the proportion method on LJ for RMP (40  $\mu\text{g}/\text{ml}$ ) and on 7H11 for  
136 OFX (2.0  $\mu\text{g}/\text{ml}$ ). Indirect solid DSTs were read blindly with respect to TLA.

137 All isolates with a discordant RMP result between any two of three tests (Xpert<sup>®</sup>MTB/RIF,  
138 TLA or indirect-DST) were further tested by MTBDR*plus*, had pDST done in MGIT (RMP 1.0  
139  $\mu\text{g}/\text{ml}$ ), and the minimal inhibitory concentration (MIC) for RMP was determined on LJ (10-  
140 160  $\mu\text{l}/\text{ml}$ ). All isolates showing RR on any test and 100 isolates showing RS on all tests were  
141 investigated by Sanger sequencing of the *rpoB* target at ITM and/or by whole genome  
142 sequencing (WGS) performed at the Borstel Research Center (Germany), as previously  
143 described [21][22]. To constitute a composite reference standard for RMP resistance, MIC  
144 prevailed on pDST, and resistance found on any of the genotypic tests overrode any  
145 phenotypic result.

146 In case of discordance between TLA and indirect-DST for OFX, isolates were investigated by  
147 LPA MTBDR*s*/ and sequencing (target genes and/or WGS). As composite reference standard,  
148 any resistance related to high confidence mutation found on any of the genotypic DST

149 override results showing susceptibility on another test.

## 150 **Statistical analysis**

151 For all tests conducted at the NTBL, we calculated the MTB positivity rate as the number of  
152 samples showing MTB over the total number of samples tested. The relative gain of TLA for  
153 detection of MTB over Xpert®MTB/RIF was calculated as the number of samples that were  
154 TLA positive but Xpert®MTB/RIF negative or inconclusive (including error, invalid or no result)  
155 divided by the total number of samples positive on Xpert®MTB/RIF. The relative gain of  
156 Xpert®MTB/RIF versus TLA was calculated as well. We also calculated the median turnaround  
157 time (TAT) between sample collection and inoculation and the median time from inoculation  
158 to each test result, and TAT for DST availability stratified by RMP resistance.

159 For all isolates received at ITM, we calculated the sensitivity and specificity (with 95%  
160 confidence intervals; CI) of TLA to detect resistance for RMP and specificity for OFX, against  
161 the composite reference standards. Implausible discordant results (e.g. non-RRDR mutation  
162 on WGS but detected by Xpert [23]), were considered administrative errors and excluded  
163 from the analysis.

164 All statistical analyses were performed with Stata 12 software (Stata Corporation, College  
165 Station).

## 166 **Ethics approval**

167 The study protocol was approved by the Institutional Review Board of ITM, the Ethics  
168 Committee of the University Hospital of Antwerp, Belgium, and the Ethics Committee of  
169 Eswatini.

## 170 **Results**

### 171 **MTB detection**

172 Between January 2014 and December 2016, 3097 patients provided a total of 4547 samples.  
173 The overall MTB positivity rate was 7.1% (322/4547) for TLA, and 10.0% (456/4547) for  
174 Xpert®MTB/RIF (Table 1). Among Sm+ samples, TLA positivity was 68.6% (138/201) versus  
175 90.7% (176/194) for Xpert®MTB/RIF, compared to 3.7% (153/4107) versus 5.7% (234/4130)  
176 respectively for Sm- samples ( $p < 0.0001$  in both groups).

177 Among Xpert®MTB/RIF-negative or inconclusive samples ( $n = 3972$ ), the relative gain of TLA  
178 for detection of MTB, when performed as follow on test after Xpert®MTB/RIF was 7.5%  
179 (34/456), while the reverse, the relative gain of Xpert®MTB/RIF over TLA for MTB detection,  
180 was 55.9% (180/322).

181 The median turnaround time (TAT) between sample collection and TLA inoculation was 4  
182 days (IQR 2-7), while the median time from inoculation to TLA positivity was 11 days (IQR 7-  
183 19).

184 When inoculation was performed within 4 days, or since 5 days or later from sample  
185 collection, the TLA positivity rate for Sm+ samples decreased from 72.6% to 59.0% ( $p = 0.053$ ),  
186 while the negative rate increased from 20.1 % to 28.3.8% ( $p = 0.16$ ), though not reaching  
187 statistical significance. Contamination varied from 7.4% to 12.1% ( $p = 0.27$ ).

#### 188 **Results available for evaluation of TLA for detection of drug resistance**

189 Out of 322 MTB positive TLA plates, 214 (66.5%) had the corresponding LJ slants sent to ITM  
190 (Figure 3). At ITM, 200 (93.5%) of the isolates grew after subculturing. Four (2.0%) isolates  
191 were classified as administrative errors (table S1), leaving 196 (98%) isolates available for  
192 analysis.



193 **RMP resistance detection**

194 Of 196 isolates included and with valid indirect-DST results at ITM, 168 (85.7%) had a valid  
195 initial Xpert®MTB/RIF result. For another 28 (14.3%) samples Xpert®MTB/RIF results were  
196 either indeterminate or not available, while TLA was concordant with indirect- DST (Table 2).  
197 After resolution versus the composite reference standard, a total of 168 (85.7%) isolates  
198 were finally classified as RS and 28 (14.3%) RR. Of the 168 samples with valid Xpert®MTB/RIF  
199 result, 157 (93.5%), were concordant between Xpert®MTB/RIF and TLA (142 RS and 15 RR),  
200 while eleven samples (6.5%) had discordant results between the two tests (Table 2). Of the  
201 142 RS TLA and Xpert®MTB/RIF concordant samples, one was RR by indirect-DST, making a  
202 total of 12 (6.1%) discordances for the 196 isolates tested between any two of the three  
203 tests.

204 By Xpert®MTB/RIF , 9 (5.4%) isolates were falsely reported as RS and one falsely showed RR,  
205 giving a sensitivity of 62.5% (15/24; CI 42.7-78.8) and specificity of 99.3% (143/144; CI 96.2-  
206 99.9). On TLA, RR was missed in two isolates and in another isolate TLA falsely showed RR.  
207 Hence, the sensitivity of TLA to detect RR was 93.0% (26/28; 95% CI 77.4-98.0) and specificity  
208 99.4% (167/168; 95% CI 96.7-99.9).

209 Most discrepancies between TLA and Xpert®MTB/RIF RMP results were due to the presence  
210 of non-RRDR mutations outside the Xpert®MTB/RIF target (five I491F and one V170F  
211 mutation), or wild type (WT) *rpoB* genes with variable phenotypic results. All non-RRDR  
212 mutations were detected by TLA, and had a RMP-MIC  $\geq$  160  $\mu$ g/ml. While V170F in one  
213 isolate was detected by MGIT, only 2 of 5 I491F mutations were detected by the liquid  
214 medium (Table 2).

215 In addition to the five I491F mutants found among TLA-Xpert®MTB/RIF discrepant results,  
216 another three I491F mutants were detected: one I491F in combination with M434I was RR

217 by all tests, two (one showing I491F mutation alone, and one in combination with M434I and  
218 S450L) had no Xpert®MTB/RIF RMP DST results (MTB not detected or RMP indeterminate).  
219 So, in total, 8/28 (28.6%) of the confirmed RR isolates had the I491F mutation, all of them  
220 showing also a S315T mutation in *katG* by WGS.

221 Two paired isolates from the same patient were RR by all phenotypic tests and  
222 Xpert®MTB/RIF yet had a WT *rpoB* sequence (Table 2). Two additional isolates were  
223 consistently phenotypic RR (indirect-DST LJ, MIC-LJ, MGIT and TLA in one isolate), while all  
224 molecular assays (Xpert®MTB/RIF, LPA, Sanger sequencing and WGS) suggested a WT *rpoB*  
225 gene. In both isolates, WGS detected a P631S mutation in the *ponA1* region (Table 2).

226 One isolate, carrying the H445L elusive mutation was RS by Xpert®MTB/RIF and RR by  
227 indirect-DST LJ.

228 Overall, the median time to detect RR was 18.4 days, similar to 17.0 days for resistance  
229 conferred by I491F alone ( $p=0.8$ ) and 18.3 days for isolates carrying other RR conferring  
230 mutations, but significantly longer than the 12.2 days for RS isolates ( $p=0.03$ ) (Figure 2).

### 231 **OFX drug resistance detection**

232 Overall, of the 196 isolates included in the analysis, 185 had valid indirect-DST results in ITM.  
233 One isolate, carrying mutation D94N, was OFX-R 2.0 µg/ml on indirect-DST and correctly  
234 detected by TLA. A total of 184 isolates were classified as OFX-S, all except one correctly  
235 identified by TLA (183/184; specificity 99.5%; CI 97.0-99.9 (Table 3), including three isolates  
236 OFX-R by indirect-DST but susceptible by molecular tests. One isolate was false OFX-R, but  
237 WT for all molecular tests.

### 238 **Discussion**

239 This study evaluated the TLA performance for MTB detection and direct-DST for RMP,  
240 alongside OFX testing. In Nhlngano, a peripheral and low resource setting, TLA showed a

241 relatively high positivity rate for MTB detection, albeit below the  $\geq 83\%$  between smear  
242 positive samples reported by other studies [13][14]. In our study culture positivity was  
243 slightly affected by delay in sample processing, while contamination rate did not significantly  
244 increase. These results suggest that TLA may be suitable in laboratories at peripheral levels,  
245 where samples collected from remote areas are transported for testing.

246 TLA performed excellent to detect RR after a median of 18.2 days.

247 In our study, more than 80% of the discordances between initial Xpert<sup>®</sup>MTB/RIF and TLA  
248 were resolved in favor of TLA, which also correctly detected all I491F mutations, which  
249 accounted for almost half of all discordances.

250 In Eswatini the prevalence of I491F is reason of concern. This mutation is regularly missed by  
251 Xpert<sup>®</sup>MTB/RIF, limitation that persists in the new version Xpert<sup>®</sup>MTB/RIF Ultra [24], with  
252 patients misdiagnosed as having RS-TB and receiving repeated rounds of ineffective first line  
253 treatment. The national drug-resistance survey carried out in Eswatini in 2017-2018 [7] has  
254 shown that the prevalence of I491F in MDR isolates has reached 56% compared to 30%  
255 detected by the survey from 2009[9][11]. In our study, I491F caused 28.6% of all RR, although  
256 our findings may not be representative for the entire country. Indeed, WGS analysis showed  
257 that isolates with I491F mutations belong to a particular outbreak clone that evolved over  
258 time and acquired further resistances to first- and second-line drugs [25][26]. Thus, isolates  
259 with this particular mutation, not detected by standard diagnostic tests, is an enormous  
260 public health problem. To improve rapid detection of these missed RR cases, the new  
261 algorithm proposed by the National TB Program in 2019 includes starting empirical MDR-TB  
262 treatment for all detected INH-R cases, while pursuing pDST on solid medium and sequencing  
263 of the *rpoB* gene to determine RR [27]. This approach is supported by our results, where all  
264 the isolates with I491F mutations carried also mutations in *katG* gene, correlated to INH

265 resistance. In this algorithm, TLA could play a role to rapidly detect these RR cases at  
266 peripheral laboratory equipped for moderate hazardous containment (BSL2 level) [18],  
267 while waiting for sequencing results.

268 Partial fitness loss for isolates carrying the I491F mutation has been proposed as a reason for  
269 false RS results in MGIT due to the short incubation time (maximum 14 days) [10][5]. Despite  
270 the relatively short turnaround time on TLA (median 17.0 days), none of the I491F strains  
271 were false RS on TLA, compared to three of the five MGIT tested. The time to detection for  
272 the I491F mutants did not differ from the ones carrying other RR-conferring mutations, albeit  
273 collectively the *rpoB* mutants grew significantly slower than *rpoB* wildtype isolates on  
274 primary isolation.

275 Besides I491F, another non-RRDR mutation (V170F) detected in one isolate, was missed by  
276 Xpert®MTB/RIF and LPA, yet detected by TLA. This mutation is globally less frequent [28], is  
277 reliably detected by pDST including MGIT, and has not yet led to known micro-epidemics.

278 In our study, one (16.7%) of the isolates carrying a H445L elusive mutation, showed also WT  
279 minority population. RR was detected by TLA, LJ-based pDST and Sanger sequencing, but  
280 missed by rapid molecular tests and MGIT. The mutation was detected by WGS only at low  
281 frequency. Indeed, heteroresistance may be the cause of false-susceptible results. Tests have  
282 different limits of detection for minority populations: as low as 1% for phenotypic tests, 5%  
283 for MTBDR*plus*, 20-40% for Xpert®MTB/RIF classic, 20% for Sanger sequencing [29] and 1%  
284 for WGS [30], depending on coverage depth. In addition, detection of minority populations  
285 and variants causing resistance can be challenged by preselection during primary culture  
286 isolation and multiple subcultures [31].

287 Surprisingly two isolates, phenotypically RR by indirect-DST, MGIT and LJ-MIC and in one case  
288 by TLA, were WT *rpoB* Sanger sequencing while WGS showed presence of mutation P631S in

289 *ponA1*. Polymorphisms in this region, that encodes for a protein involved in mycobacterial  
290 growth and cell wall synthesis, seem to constitute an advantage for growth in presence of  
291 rifampicin and modifies susceptibility to this drug [32][33]. Even if rare, the role of this  
292 mutation and other non-*rpoB* resistance conferring-mechanisms, should be further  
293 investigated.

294 Of the three TLA-OFX-S isolates found OFX-R on indirect-DST yet WT by sequencing, two  
295 (paired isolates from the same patient), were borderline resistant by the indirect-DST, as  
296 they showed the same number of colonies in the drug-free and -containing tubes, one of  
297 them positive only with three colonies. Although these borderline results could be the cause  
298 of discordances, indirect-DST could not be repeated. For the third, no obvious explanation  
299 for the discrepancy could be found.

300 Laboratories in peripheral settings usually lack high-risk TB facilities with stable power  
301 supply, negative pressure and complex equipment that is regularly maintained, which limits  
302 the implementation of phenotypic testing, especially indirect-DST [34]. TLA direct-DST,  
303 similarly to MODS, poses less biosafety risk, lowering requirements to moderate-risk facilities  
304 to contain biohazard potentially created by samples centrifugation and vortex [18]. In a head-  
305 to-head comparison, TLA showed to be superior to MODS in detecting resistance due to  
306 I491F mutation [12].

307 Our study is the first to test TLA for RMP and OFX in a high endemic setting at district level,  
308 although numbers did not suffice for full assessment of OFX performance.

309 A drawback pointed out for direct-TLA DST is the lack of standardization of the inoculum [35],  
310 although we did not find an association of bacterial load (as determined by smear  
311 microscopy) and false DST results. Secondly, the direct nature of TLA testing complicates  
312 appropriate quality controls, for which fresh sputum is needed, as manipulation of strains

313 would increase biosafety requirements. However the use of samples spiked with avirulent  
314 strains, compatible with BSL2 hazard containment, could be considered for this purpose [36].  
315 For 4 isolates (2.0%), discordant results could not be explained, and for this reason  
316 considered as administrative errors. These errors are not infrequent in diagnostic  
317 laboratories [37] that handle high amount of tests.

318 The TLA technique requires multiple readings of the plates, which is time consuming. Our  
319 cumulative data suggests that the workload could be reduced by decreasing the number of  
320 readings, as readings at day 28 and 35 provided relatively low incremental yield. For future  
321 testing, reading at day 7, 14, 21 and 42 may be logistically more feasible, as well as the use  
322 of a redox indicator allowing for macroscopic reading, as suggested by recent studies  
323 [38][39].

324 Our study presented some limitations. It was not possible to link on site multiple samples  
325 collected from the same patient, so that a per patient analysis of the results was not possible.  
326 In addition, confirmatory tests were performed only on isolates showing discordances. TLA  
327 showed to correctly exclude resistance in all susceptible cases, however our samples did not  
328 include a sufficient number of isolates resistant to OFX to fully evaluate TLA performance. In  
329 addition, molecular tests for concordant OFX tests were only partially available. In our study,  
330 OFX was considered as an indicator for resistance to the class of FQs. The full performance  
331 of TLA for detection of FQ resistance, possibly including other FQs than OFX, should be  
332 assessed in settings with higher rates of FQ-R, or when TLA is used after Xpert RR diagnosis.  
333 Nevertheless, our study shows that TLA provides numerous advantages.

334 In settings with high prevalence of I491 mutations, TLA could be very useful for patients with  
335 presumed RR-TB yet Xpert®MTB/RIF RS-TB. Especially in patients with INH-R and missed RR-  
336 TB, the use of a FQ-strengthened regimen as recommended by WHO guidelines [40], would

337 result in ethambutol and pyrazinamide as sole effective drugs, increasing the risk of  
338 developing XDR-TB [41].

339 The relative gain for MTB detection by TLA when used after Xpert was limited, confirming  
340 that Xpert should be the first test for diagnosis[15][17]. However, TLA could be used for  
341 monitoring patients during treatment, also to detect amplification of RR-TB in patients failing  
342 first-line treatment, especially if missed at baseline. Validation of TLA for new- and  
343 repurposed drugs would be the logical next step, given the WHO recommended pDST, as TLA  
344 could replace in the flow chart indirect-DST in patients found to have molecular evidence of  
345 RMP and/or INH resistance. The level of phenotypic resistance to bedaquiline that is  
346 conferred by *Rv0678* mutations is for instance largely unknown, and TLA could save weeks  
347 over indirect pDST, at a lower biosafety level.

### 348 **Conclusions**

349 TLA provides a relatively rapid diagnostic approach for detecting viable TB bacilli and  
350 simultaneous susceptibility testing for RMP showing excellent sensitivity for the detection of  
351 RR due to the I491F mutation outside of the RRDR that plagues Southern Africa. In the  
352 diagnostic algorithm of this setting, TLA could be used to test presumed RR patients yielding  
353 an RS result by Xpert®MTB/RIF.

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- 522

523 Table 1 Detection of *Mycobacterium tuberculosis* complex and nontuberculous mycobacteria, for TLA  
524 versus Xpert®MTB/RIF

	TLA										Total N
	Neg		Pos		NTM		Cont		Unknown		
	N	%	N	%	N	%	N	%	N	%	
<b>Total</b>	<b>3857</b>	<b>84.8</b>	<b>322</b>	<b>7.1</b>	<b>11</b>	<b>0.2</b>	<b>255</b>	<b>5.6</b>	<b>102</b>	<b>2.2</b>	<b>4547</b>
Xpert											
Neg	3536	91.2	32	0.8	10	0.3	218	5.6	83	2.1	<b>3879</b>
Pos	161	35.3	274	60.1	1	0.2	18	3.9	2	0.4	<b>456</b>
<i>High</i>	24	15	124	77.5	1	0.6	11	6.9	0	0	<b>160</b>
<i>Medium</i>	39	35.1	70	63.1	0	0	2	1.8	0	0	<b>111</b>
<i>Low</i>	39	39.4	56	56.6	0	0	3	3	1	1	<b>99</b>
<i>Very Low</i>	59	68.6	24	27.9	0	0	2	2.3	1	1.2	<b>86</b>
Inconclusive	76	81.7	2	2.2	0	0	12	12.9	3	3.2	<b>93</b>
Unknown	84	70.6	14	11.8	0	0	7	5.8	14	11.8	<b>119</b>

525 TLA=thin layer agar; Xpert=Xpert®MTB/RIF; MTB=Mycobacterium tuberculosis complex; NTM = non-tuberculous  
526 mycobacteria; Cont=contamination; Inconclusive= error, invalid, no result

527 Table 2. Rifampicin test results of TLA and Xpert®MTB/RIF, against composite reference standard

CRS	N	<i>rpoB</i> target Sanger/ WGS	LJ	Xpert	TLA RMP DST	MDRBTplus	LJ-MIC (µg/ml)	MGIT DST	TLA final interpretation	TLA – Xpert concordance
RMP R (n=28)	1	M434I; I491F/ M434I; I491F <sup>‡</sup>	R	R	R					
	3	na/ H445L	R	R	R	na	na	na	TRUE RR	Concordant
	8	na/ S450L	R	R	R					
	2	WT/WT <sup>€</sup>	R	R	R					
	1	H445D/H445D	R	NEG	R					
	1	I491F;S450L;M434I/ I491F;S450L;M434I <sup>‡</sup>	R	NEG	R	na	na	na	TRUE RR	na
	1	I491F/I491F <sup>‡</sup>	R	IND	R					
	1	S450L/S450L	R	na	R					
	5	I491F/I491F	R	S	R	WT	160->320 <sup>§</sup>	3S, 2R		
	1	H445L+WT/WT*	R	S	R	WT	160	S	TRUE RR	Discordant
RMP S (n=168)	1	V170F/V170F	R	S	R	WT	160	R		
	1	WT/WT <sup>°</sup>	R	S	R	WT	160	R		
	1	S450L/S450L	R	R	S	delWT,MUT3	160	R	FALSE RS	Discordant
	1	WT/WT <sup>°</sup>	R	S	S	WT	160	R	FALSE RS	Concordant
	99	na/WT	S	S	S					
	6	WT/nd	S	S	S	na	na	na	TRUE RS	Concordant
	36	na/na	S	S	S					
	24	na/na	S	na	S	na	na	na	TRUE RS	na
	1	WT/WT	S	R	S	WT	20	S	TRUE RS	Discordant
	1	WT/WT	R	S	S	WT	20	S	TRUE RS	Concordant
1	WT/WT	S	S	R	WT	20	S	FALSE RR	Discordant	

528 CRS= composite reference standard; WGS=whole genome sequencing; Xpert=Xpert®MTB/RIF; LJ= Löwenstein-Jensen; TLA= thin layer agar; RMP=rifampicin; MIC=minimal  
529 inhibitory concentration; MGIT=mycobacterial growth indicator tube; na= not available; §=one result invalid; ‡= isolates with I491F mutations either detected also by Xpert, or  
530 without Xpert result; IND= RMP indeterminate; €= paired isolates with *rpoB* sequencing WT results; °=P631S mutation in the *ponA1* region; \*=*rpoB* H445L+ L452P detected at  
531 low frequency mode.

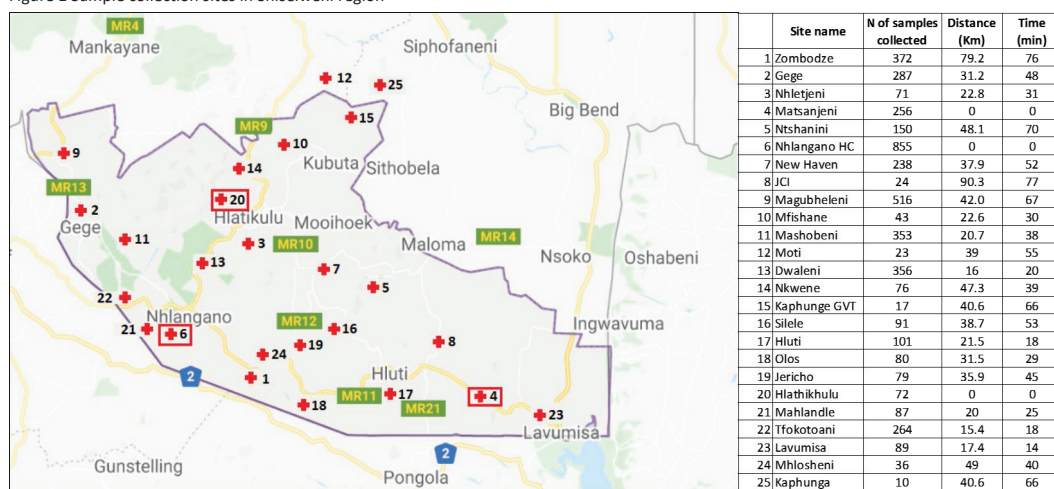
532 Table 3 Thin layer agar results versus indirect-DST and composite reference standard for resistance to Ofloxacin

OFX result by CRS	N of isolates	<i>gyrA/B</i> target Sanger sequencing/WGS	LPA	LJ (µg/ml) OFX 2.0	TLA (µg/ml) OFX 2.0	TLA final interpretation
OFX-R (n=1)	1	na/D94N	na	R	R	TRUE OFX R
OFX-S (n=184)	117	na/WT	na	S	S	TRUE OFX S
	1	na/WT	na	S	R	FALSE OFX R
	62	na/na	na	S	S	TRUE OFX S
	1	WT/na	WT	S	S	TRUE OFX S
	3	WT/WT*	WT	R	S	TRUE OFX S

533 CRS= composite reference standard; TLA=Thin layer agar; OFX=ofloxacin; WGS=whole genome sequencing;

534 na=not available; \*= for 1 isolate WGS not done;

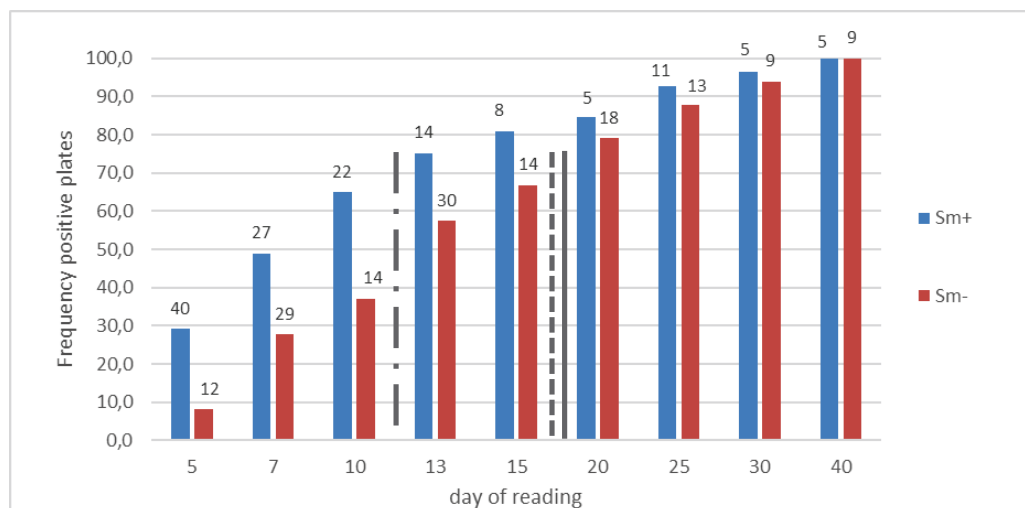
535 Figure 1 Sample collection sites in Shiselweni region



536

537 (Google map, modified). Distance and time of driving from the site of collection to the closest of the three TB laboratories (in red squares)

Figure 2 Number of positive plates and cumulative percentage per day of reading



Sm+ = sputum smear microscopy positive; Sm- = sputum smear microscopy negative. Indication of median positivity and drug-susceptibility results for:

rifampicin-susceptible S isolates — · —

rifampicin-resistant isolates with mutations different from I491F —

rifampicin-resistant isolates with I491F MUT only - · -



Figure 3 Phenotypic rifampicin-resistance testing results from the site (direct- TLA) and the reference laboratory (indirect LJ)

